



**Nationwide  
Funds®**

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## IRA Recharacterization Form

Please use a pen and print clearly in CAPITAL LETTERS.

Use this form to authorize your IRA Custodian to recharacterize all or part of an IRA conversion or contribution. You may use this form to:

- 1) recharacterize all or part of your assets from a previous Roth IRA conversion back to a Traditional IRA or SEP IRA, or
- 2) recharacterize a previous IRA contribution to another type of IRA account. **Note:** All recharacterizations will include applicable earnings. If you do not already have an account in the type of IRA to which you are recharacterizing, you must complete an IRA Account Application and return it with this form.

*You must complete all sections of this form. Failure to do so will result in processing delays.*

### Account Holder Information

Account Holder Name (First, Middle, Last) \_\_\_\_\_

Street Address \_\_\_\_\_

Apt. # \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

ZIP \_\_\_\_\_

Daytime Phone Number \_\_\_\_\_

Date of Birth (mm/dd/yyyy) \_\_\_\_\_

Social Security Number \_\_\_\_\_

### Type of Recharacterization

Recharacterize all or part of a previous Roth IRA conversion to a  Traditional IRA or  SEP IRA

From Account # \_\_\_\_\_ To Account # \_\_\_\_\_

Year in which IRA was converted\* \_\_\_\_\_

Dollar Amount \_\_\_\_\_ *We will recharacterize all shares unless you specify a dollar amount.*

Recharacterize a previous IRA contribution to another type of IRA

Traditional IRA to Roth IRA From Account # \_\_\_\_\_ To Account # \_\_\_\_\_

Roth IRA to Traditional IRA From Account # \_\_\_\_\_ To Account # \_\_\_\_\_

Roth IRA to SEP IRA From Account # \_\_\_\_\_ To Account # \_\_\_\_\_

SEP IRA to Roth IRA From Account # \_\_\_\_\_ To Account # \_\_\_\_\_

Year in which IRA was converted\* \_\_\_\_\_

Dollar amount of contribution to be recharacterized \_\_\_\_\_

**\*Timing:** If you are recharacterizing a contribution or conversion from the previous year, you generally must make the recharacterization by the due date of your income tax return (including extensions) and reflect it on your return. However, if you filed your return without making the recharacterization, you can still request a recharacterization within 6 months of the due date of your return, excluding extensions.

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**Signatures**

I assume full responsibility for any tax consequences that may arise as a result of this recharacterization. I understand that my election to recharacterize may not be revoked. My IRA Custodian did not provide any tax advice and has recommended that I consult with a tax professional before completing this recharacterization. I understand that any amount withheld for federal income tax on the conversion may not be rolled back into the Traditional IRA if more than 60 days have passed since the conversion. I understand that my IRA Custodian cannot refund federal withholding that has already been remitted to the Internal Revenue Service.

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Account Holder Signature

Date

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For assistance completing this form, call 1-800-848-0920. Send completed forms to:

**Mail:**

Nationwide Funds  
P.O. Box 701  
Milwaukee, WI 53201-0701

**Overnight Services:**

Nationwide Funds  
615 E. Michigan St., FL3  
Milwaukee, WI 53202-5207