

Retirement Account Transfer/Conversion/ Direct Rollover Authorization Form



**Nationwide
Funds®**

On Your Side®

If you are establishing a new Nationwide Funds Retirement Account with this transfer, please complete the IRA Application or the Coverdell Savings Account Application and submit it with this transfer form.

You can use this form to transfer existing IRA assets from your current custodian to a Nationwide Funds IRA or to convert your Traditional IRA into a Roth Conversion IRA account.

If you are about to receive an eligible rollover distribution from a qualified retirement plan, you may be able to rollover all or part of these proceeds into a Rollover IRA with no tax penalty. These distributions must be rolled into an IRA within 60 days of receipt. If you elect to have the distribution paid to you directly, your employer is required to withhold 20% for federal income taxes. You can avoid the withholding requirement by requesting your employer to make a Direct Rollover of the proceeds to an IRA Rollover Account. Your employer should provide you with the appropriate distribution forms, where you will authorize payment to Nationwide Funds. If necessary, you can use the following section for your convenience.

1. Account Holder Information

Account Holder's Name (First /Initial/Last)		Date of Birth (mm/dd/yyyy)	<input type="checkbox"/> Soc. Sec. Number or <input type="checkbox"/> Taxpayer ID Number	
Street Address	City	State	ZIP	
Residential Phone Number	Business Phone Number			

2. Source of Transfer/Rollover/Conversion (Complete this section in its entirety or it may be returned to the broker-dealer of record.)

Name of Current Retirement Plan Custodian or Employer	Business Phone Number			
Street Address	City	State	ZIP	
Account Number(s) for Existing Account(s)	If CD at Bank, Maturity Date (mm/dd/yyyy)			

(Please attach a copy of your current retirement plan statement for easy identification.)

What type of plan are you moving?

- Traditional IRA
 SEP IRA
 Inherited IRA
 457
 Roth IRA
 SIMPLE IRA
 Rollover IRA (Qualified plan assets already rolled to an IRA)
 Qualified Retirement Plan [401(k), Roth 401(k), 403(b), Roth 403(b), KEOGH, Employer Pension]
 Other _____

3. Fund Selection

- Check here if you are establishing a new Nationwide Funds IRA and have enclosed the appropriate application that lists your Fund selections.
 Deposit the Transfer/Rollover proceeds into my existing Nationwide Funds IRA or Coverdell Education Savings Account as follows (percentages must total 100%)

Class A, B or C	Fund Name	Account Number	% of Account
Class A, B or C	Fund Name	Account Number	% of Account
Class A, B or C	Fund Name	Account Number	% of Account

4. Transfer Authorization

Check IRA type:

Traditional IRA Roth IRA Coverdell Education Savings Account SEP IRA SIMPLE IRA Inherited IRA

I authorize my current Custodian (named in Section 2) to convert my existing Traditional IRA or SEP IRA to a Roth IRA with Nationwide Funds.
(Please complete Section 6 below.)

I request all or part (\$ _____) be converted to Nationwide Funds immediately or at maturity date (for a CD).

Note: AGE 70½ RESTRICTION FOR TRADITIONAL IRAs—If this transfer is being made during or after the year in which you turn 70½, you cannot transfer any distribution that would constitute a required minimum distribution from the distributing IRA. Please check with your surrendering Custodian or your tax advisor for more information about required minimum distributions.

5. Direct Rollover from an Employer's Qualified Plan

I authorize and instruct my Plan Trustee (named in Section 2) to liquidate and rollover all or part (\$ _____) of my balance in my employer-sponsored retirement plan directly to my Nationwide Funds IRA.

Check this box if you request a conversion to a Roth IRA once the Qualified Retirement Plan rollover proceeds are deposited into a Nationwide Funds IRA. Complete the withholding election in the following Roth Conversion section.

Note: Your employer may require other plan distribution forms in order to process this direct rollover. Contact your Plan Administrator for details. Nationwide Fund Distributors LLC cannot accept stock certificates.

6. Roth Conversion

I authorize my current Custodian (named in Section 2) to convert my traditional existing IRA or SEP IRA to a Roth IRA with Nationwide Funds.

I request all or part (\$ _____) be converted to Nationwide Funds immediately or at maturity date (for a CD).

I do NOT want federal income tax withheld from the IRA distribution to a Roth Conversion IRA.

I want federal income tax withheld from the IRA distribution for conversion to a Roth Conversion IRA at the rate of _____%. (Provide a whole percent of 10% or greater. Please check with your current custodian as to whether more than 10% can be withheld. If no percentage or if less than 10% is provided, then 10% will be withheld.) The taxes due may be more or less than the amount withheld, whether or not you elect to have withholding apply. You are responsible for the full payment of federal income taxes and any state and local taxes.

* Who qualifies for Roth IRA conversions, and what are the tax ramifications?

You are eligible to convert your Traditional or SEP IRA to a Roth IRA if your modified adjusted gross income does not exceed \$100,000 during the year of the distribution, regardless of your marital status. You may not convert to a Roth IRA if you are married and file a separate tax return. Also, you may not rollover proceeds from a Qualified Plan directly into a Roth IRA. Qualified Plans must be rolled directly into a Traditional IRA, then converted to a Roth IRA.

A conversion from a Traditional or SEP IRA to a Roth IRA will create a taxable distribution on all pre-tax assets converted. At year end, both you and the IRS will receive a tax form detailing the amount of your distribution from the Custodian that processed your distribution. You should always consult with your tax advisor for advice on whether converting your funds to a Roth IRA is beneficial in your situation.

7. Signature

I certify that I have established an IRA with Nationwide Funds, of which Nationwide Bank is the Custodian. I agree to contact the Custodian for the account from which I am transferring funds to determine if specific documentation or a Medallion Signature Guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold Nationwide Bank harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that Nationwide Bank or its agents cannot provide legal advice and I agree to consult with my own tax advisor.

Account Holder Signature

Date (mm/dd/yyyy)

8. Medallion Signature Guarantee

Your signature must be signature guaranteed to assure that the signature is genuine and to protect you from unauthorized requests. **You may obtain a Medallion Signature Guarantee from a bank, brokerage firm or financial institution. Please note that a notary public cannot provide a Medallion Signature Guarantee.**

Medallion Signature Guarantee Stamp

9. Transfer/Rollover/Conversion Acceptance By Nationwide Bank

(This section to be completed by Nationwide Funds)

Nationwide Bank has established an Individual Retirement Account for the above named individual and will accept the direct transfer, direct rollover or conversion of the cash proceeds from the above listed retirement plan.

Nationwide Bank Authorized Signature (Internal Use Only)

Date (mm/dd/yyyy)

With a copy of this request, please send the check, made payable to:

Nationwide Funds
IRA F/B/O

P.O. Box 701
Milwaukee, WI 53201-0701

For assistance completing this form, call 1-800-848-0920. Send completed forms to:

Mail:
Nationwide Funds
P.O. Box 701
Milwaukee, WI 53201-0701

Overnight Services:
Nationwide Funds
615 E. Michigan St., FL3
Milwaukee, WI 53202-5207