



### Transfer on Death Form

Please use a pen and print clearly in CAPITAL LETTERS.

Please carefully read the *Rules Governing TOD Registration* at the end of this form.

#### Account Holder Information

Account Holder Name Social Security Number / Tax ID Number Daytime Phone Number

Street Address City State ZIP

Joint Account Holder (if applicable) Social Security Number / Tax ID Number Daytime Phone Number

#### Account Information

Fund Name Account Number

Fund Name Account Number

Fund Name Account Number

#### Beneficiary Information

Primary Contingent Name (First, Middle, Last) % Share

Relationship Address (Number and Street)

City State / ZIP

Date of Birth (mm/dd/yyyy) Social Security Number

Primary Contingent Name (First, Middle, Last) % Share

Relationship Address (Number and Street)

City State / ZIP

Date of Birth (mm/dd/yyyy) Social Security Number

Primary Contingent Name (First, Middle, Last) % Share

Relationship Address (Number and Street)

City State / ZIP

Date of Birth (mm/dd/yyyy) Social Security Number

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**Signatures**

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Account Holder Signature

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Date

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Joint Account Holder Signature

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Date

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For assistance completing this form, call 1-800-848-0920. Send completed forms to:

**Mail:**

Nationwide Funds  
P.O. Box 701  
Milwaukee, WI 53201-0701

**Overnight Services:**

Nationwide Funds  
615 E. Michigan St., FL3  
Milwaukee, WI 53202-5207

## RULES GOVERNING TOD REGISTRATION

### 1. General

The purpose of Transfer on Death (TOD) registration is to enable an account owner to designate one or more beneficiaries to receive the shares in the account automatically upon the account owner's death, outside of probate. The account owner retains all normal rights of ownership during his or her lifetime. Until the death of the account owner, a TOD beneficiary has no rights to the account. A beneficiary must survive the account owner for transfer to occur in accordance with the TOD registration. If no beneficiary survives the account owner, the account will belong to the account owner's estate at the account owner's death. In the case of an account owned jointly by two or more individuals, a beneficiary must survive all account owners. If a beneficiary survives the account owner but is not alive at the time the shares are presented for re-registration, the shares that would have been transferred to the beneficiary become part of the beneficiary's estate. Where multiple beneficiaries have been designated, if one or more but not all fail to survive the account owner, the shares will, upon the account owner's death, be distributed among the remaining beneficiaries in proportion to their allotted portions of the account assets. (Thus if the TOD registration specifies that beneficiaries A, B, and C are to receive 25%, 25% and 50% of the account assets, respectively, but only B and C survive the account owner, B will receive one-third of the account assets and C two-thirds.) If the account owner has not specified an allotment among beneficiaries, the assets will be allotted equally.

TOD accounts will be governed by these Rules, as periodically amended. To the extent that they do not conflict with these Rules, the Rules of the Securities Transfer Association governing TOD shall apply. If, at the time of the account owner's death, TOD registration of an account is ineffective as provided in these Rules or the prospectus of the applicable fund, the applicable fund reserves the right not to transfer the assets in the account to the designated beneficiary or beneficiaries.

### 2. Eligible Account Owners

The owner of an account registered in the TOD form must be a natural person, two natural persons holding the account as Tenants by the Entireties ("TEN ENT"), or two or more natural persons holding the account as Joint Tenants with Right of Survivorship ("JT TEN"). The following are **ineligible** for TOD registration: Tenants in Common ("TEN COM"), community property registration account owners, non-natural account owners (entities such as corporations, trusts or partnerships), and shareholders who are not residents of a state that has adopted the Uniform Transfer on Death Security Registration Act. *Shareholders should check with their state's Attorney General's office to determine if TOD registration has been adopted in their state and will therefore be recognized as a legal transfer of ownership.*

### 3. Eligible Beneficiaries

A beneficiary may be an individual or an entity. Up to nine beneficiaries may be designated. (If an account owner wishes to designate more than nine beneficiaries, the account owner may split the investment into separate accounts and designate up to nine beneficiaries for each account.) If an intended beneficiary is a minor, a guardian or custodian must be designated upon registration of the account. Minors are permitted to be designated as TOD beneficiaries under the Uniform Transfers to Minors Act but are not permitted to be designated as TOD beneficiaries under the Uniform Gifts to Minors Act. *No designation such as Lineal Descendants (LD) or Lineal Descendants Per Stripes (LDPS) is permitted.*

### 4. The TOD Account

If an investor opens a TOD account that is otherwise acceptable and, for whatever reason, the applicable fund does not receive a properly completed TOD Registration Request Form from the account owner prior to the account owner's death, the fund reserves the right not to honor the TOD designation. All shares held in a TOD account, whether acquired by purchase, reinvestment, exchange or otherwise, will be subject to TOD registration. If shares with TOD registration ("TOD Shares") are exchanged for shares in a new account, the exchanging shareholder must provide written instructions to allow the new shares to be designated TOD Shares.

### 5. Changes to TOD Registration During the Lifetime of the Account Owner

The account owner may change or revoke TOD registration with written instructions acceptable to the fund's transfer agent, including appropriate signature with Medallion Signature Guarantee, indicating a new form of registration or designating one or more new TOD beneficiaries. A TOD registration may not be changed or revoked by will, codicil or oral communication. If there are multiple account owners, all account co-owners must comply with these Rules before a change or revocation will be processed. The death of an account co-owner registered in TOD form will not revoke TOD registration. However, the surviving account co-owner may direct the re-registration of the account by providing the fund's transfer agent with a letter of instruction with medallion signature guarantee, evidence of the death of the account co-owner (certified copy of the death certificate), affidavit of domicile of the deceased account co-owner and, if required, inheritance tax waivers. If the TOD account owner moves to a jurisdiction which has not adopted the Uniform Transfer on Death Security Registration Act, the TOD registration will no longer be effective.

*You may obtain a medallion signature guarantee from a bank, brokerage firm or financial institution. Please note that a notary public cannot provide a Medallion Signature Guarantee.*

### 6. Transfer to Designated TOD Beneficiary(ies) Upon the Account Owner's Death

Provided that all other applicable terms and conditions of these Rules and the applicable fund's prospectus are satisfied, the fund's transfer agent will process a transfer to the designated TOD beneficiary or beneficiaries upon receipt of all of the following: (1) satisfactory evidence of the death of the account owner(s) (e. g., a certified copy of the death certificate); (2) Medallion Signature Guaranteed letter of instruction, signed by the beneficiary; (3) New account application (if maintaining the account); or (4) Form W-9 (if liquidating the account). **Neither Nationwide Funds nor its transfer agent shall have any obligation to attempt to locate a designated TOD beneficiary upon an account owner's death.**

### 7. Spousal Consent

If an account owner is married and the spouse is not the sole designated beneficiary, neither the fund nor the fund's transfer agent has any obligation to determine an account owner's marital status, or whether property placed into an account is separate or community property.

## **8. Tax and Legal Consequences of TOD Registration**

Neither the fund nor the fund's transfer agent is responsible for determining the tax and/or legal consequences of an account owner's decision to register securities in TOD form. An investor should consult legal and tax advisors before electing TOD registration. Neither the fund nor the fund's transfer agent shall have any liability or responsibility to a designated TOD beneficiary, and the beneficiary shall have no claims against any of them, for dividends, interest and other distributions in respect of a security registered in TOD form paid in cash to the account owner and negotiated by the account owner's representatives after the death of the account owner unless or until the shares are presented in proper form for transfer to, and are registered in the name of, the beneficiary.

## **9. Indemnification**

Intending to be legally bound and in consideration of the registration of a TOD account in a fund, each investor opening such an account thereby agrees, for him/herself and his/her designated beneficiary(ies), successors, legal representatives, heirs and assigns, as follows: (1) that the account owner's account(s) registered in TOD form shall be governed by these Rules, (2) to at all times indemnify and save harmless the applicable fund and the fund's transfer agent, and their affiliates, successors, legal representatives and assigns, and the officers, directors, trustees, employees and agents of any of them (collectively, the "Indemnified Parties"), from and against any and all claims, liabilities, damages, actions, charges and expenses, including but not limited to attorneys' fees and disbursements, sustained or incurred by any of the Indemnified Parties (collectively, the "Claims") to the extent that any acceptance or implementation of, or other action in accordance with these Rules with respect to, TOD instructions by the account owner is alleged or found for any reason to have been improper, invalid or ineffective, and (3) that the foregoing indemnification shall include without limitation any Claims by third parties challenging any TOD instructions or transfer in connection with the account owner's account(s) in the fund.

## **10. Problematic or Contested Disposition of TOD Accounts**

Notwithstanding the protections provided in these Rules, in the event that a fund or its transfer agent receives any notice of a claim or fact adverse to a transfer to a TOD beneficiary or is uncertain for any reason as to the beneficiary entitled to receive a transfer, or in the event of a dispute by the beneficiary or the deceased account owner's estate or any person claiming through the deceased account owner as to the proper transfer, or of claims to TOD accounts by creditors of the account owner's estate, surviving spouse, personal representative, heirs or others, the fund or its transfer agent may require the parties to adjudicate their respective rights. In the event of a dispute, or the reasonable possibility of a dispute, over disposition of a TOD account, the fund may, in its sole discretion, pay the proceeds of such TOD account into a court.

## **11. Future Changes in Rules**

These Rules are subject to change from time to time. Any and all references to these Rules, whether in these Rules, a fund's prospectus or any form or other communication, shall refer to these Rules as they may be amended periodically.